



RELIABILITY BASED DESIGN OF THE ROCK SIDE SLOPE FOR UPPER PLATEAU IN MOKATTAM AREA CONSIDERING OPTIMAL COST VALUE

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ABSTRACT

Design solutions for the problems of the rock side slope stability, in general, are one of the most critical issues in geo-technical engineering profession, due to the fact that the values of rock parameters are difficult to be considered reliable, because of the uncertainties existing in geological system. This research aims to introduce reliability based design of the rock side slope stability considering optimal cost value. The idea for obtaining the optimal cost value depends on two main critical parameters. These two main critical parameters are uni-axial compressive strength (U.C.S) and geologic strength index (G.S.I). Both of them have been calculated based on statistical calculations of mean and standard deviations. A strength criterion is needed to characterize the rockmass in geotechnical engineering. There are three criteria are used to describe the strength of a material: bilinear Mohr-Coulomb criterion, nonlinear Hoek-Brown criterion and special spalling criterion must be used in special case of massive, brittle rocks. Nonlinear Hoek-Brown criterion has been used in this study; because of some limitations associated with the use of the Mohr-Coulomb criterion. RocData program have been utilized for obtaining the Hoek-Brown parameters (m, s), Slide program has been employed for calculating the reliability index, factor of safety and probability of failure for each case study for upper plateau in Mokattam area. The concept of design is based on the necessity of using reinforcement. If the design of using rock parameters is safe and reliable, there is no need for reinforcement; otherwise design of rock bolts should be used as a support system to improve reliability and factor of safety. The current simplified method has been utilized to consider the cost of failure to find the optimal length of support and optimal reliability index. The study concluded that the suggested technique of nonlinear Hoek Brown Criterion is effective for helping the designer in finding the optimal length of support that meets the optimal reliability index and optimal cost value for two main critical parameters.

Key Words: Reliability- Rocks- Probability- Side Slope- Cost

1. INTRODUCTION

1.1. Slopes

Slope failures of different types are affected by some factors such as angle of internal friction, slope geometry, geological structure, etc... [1].

As a result of these factors there are many types of slope failure such as plane failure, wedge failure, etc... There are many techniques used for analyzing of these types of slope failures, which are wedge failure analysis, circular failure analysis, etc... [2].

Design stages takes place after analysis in order to protect the side slope of rocks. Most rock slopes need some forms of treatment to ensure continued stability. Rock bolt/nail (this is tensioned bar inserted into rock forming a short anchorage zone in rock so that an unstable slope

area is being reinforced by tension. Typical rock bolts are 25mm to 40mm in diameter, 3m to 6m long, and have a tensile working load around 100kN [3].

In slope stabilization, each slope must be treated individually; local practices may be considered as a good guide for this purpose. The flatter the slope, the greater are the excavation costs but the less the long-term maintenance costs. The principal design decision is often whether to form the slope in a series of benched steps or to cut to a uniform gradient. This indicates the importance of rock bolts reinforcement for slope stabilization [4].

1.2. Uncertainty

Uncertainty in geological system stems from:

1. variability caused by random process (Aleatory), which is:
 - A. Natural variability in rock mass
 - B. Natural variability in In-situ stress parameters,
2. knowledge-based uncertainty that exists due to lack of information (Epistemic), which is:
 - A. Site characterization uncertainty: that refers to the accuracy of the geological model, which is affected by data and exploration uncertainties such as measurement errors, data handling/transcription errors, and inadequate data coverage.
 - B. Parameter uncertainty: that results from inaccuracy in assessing geotechnical parameters from tests data. The major components are statistical Estimation errors and transformation errors (i.e. transforming intact to rockmass parameters), both of which are exacerbated by a few observations.
 - C. Model uncertainty: that deals with the degree to which a mathematical model adequately mimics reality. This stems from either an inability to identify the best model or the inability of a model to represent a system's true physical behavior. Reliability-based design will be used as an approach to incorporate possible uncertainties values in the design. However, the results are affected by the assumed distribution and statistical parameters of the rock properties [5].

1.3. Hoek Brown

In general, most computer programs require Mohr–Coulomb soil parameters (C' and ϕ') as input, thus ignoring the non-linear nature of the rock mass failure envelope. Furthermore, the non-linearity is more pronounced at the low confining stresses that are operational in slope stability problems. As discussed by Merifield et al. [6], the Hoek–Brown failure criterion is one of the few non-linear criteria used by practicing engineers to estimate rock mass strength. The latest version of Hoek–Brown yield criterion is expressed as:

$$\sigma_1' = \sigma_3' + \sigma_{ci} ((m_b \sigma_3' / \sigma_{ci}) + s)^a \dots\dots\dots(1)$$

Where:

$$m_b = m_i \exp((GSI-100)/(28-14D)) \dots\dots\dots(2)$$

$$s = \exp((GSI-100)/(9-3D)) \dots\dots\dots(3)$$

$$\alpha = (1/2) + ((1/6)(e^{GSI/15} - e^{-20/3})) \dots\dots\dots(4)$$

with the magnitudes of m_b , s and a relying on the geological strength index (GSI), which describes the rock mass quality, and σ_{ci} and m_i representing the intact uniaxial compressive strength and material constant respectively. The parameter D is a factor that depends on the degree of disturbance whose range is between 0 and 1. Currently, only the studies of Li et al. [7, 8 and 9] provided both the numerical upper and lower bound solutions [19–21] for rock slope assessments based on the Hoek–Brown failure criterion [10]. In Li et al. [7], a new non-dimensional stability number (N) was proposed. It is based on the Hoek–Brown failure criterion and defined as

$$N = \sigma_{ci} / \gamma HF \dots\dots\dots(5)$$

Where γ is the unit weight of the rock mass, and H and F are the height and the safety factor of the slope respectively. In Li et al. [9], the safety factor was presented in terms of $\sigma_{ci} / \gamma H$ as these three parameters can be directly measured more easily and accurately, compared with other strength parameters of the Hoek–Brown yield criterion. However, the definition in safety factor for Eq. (5) is different from that of conventional factor of safety used in limit equilibrium analysis, as shown in Eq. (6).

$$Fs = \Sigma (\text{resisting actions}) / \Sigma (\text{driving actions}) \dots\dots\dots(6)$$

Although both safety factors F and F_s represent a failure when they equal 1, due to their different definition they generally are not equal (i.e. $F \neq F_s$).

1.4. Reliability

Reliability definition is the “Probability that a system will perform its intended function for a specific period of time under a given set of conditions”

$$R = 1 - Pf$$

Another definition of the Reliability is the probability that unsatisfactory performance or failure will not occur [11].

1.5. Cost of quality

It becomes clear that the construction industry’s solutions to measuring the COQ for each subcategory will vary greatly. A solution example may be as simplistic as time cards filled out by management personnel identifying the time spent on quality prevention and appraisal issues. These could provide the information needed to accurately measure the cost of many of the COQ conformance categories included under planning, training, control, measuring and evaluation costs. Another solution might be to add a couple of cost categories to existing time cards filled out by field personnel. This would assist in collecting cost data for many COQ nonconformance categories such as testing, inspections, rework, expediting, additional materials and warranty costs. There have even been attempts made by researchers to quantify the COQ for items which seem too nebulous to measure. The COQ from the loss of repeat business or poor company image leading to customer dissatisfaction is a kind of “hidden cost.” Over the past several years, studies have been conducted using “probabilistic theory”, “Taguchi’s quality loss function”, and “fuzzy logic” to quantify these types of hidden costs with varying degrees of success [12].

1.6. Mokattam

Ø In this paper these methods will be adopted for the problem of rock side slopes in Gebel Mokattam which is located to the east of Cairo and is bounded by the Gebel Ahmer on the north and the Maadi-Qattamia road on the south. It is bounded on the west by the Salah Salem-Maadi and the Heliopolis-Helwan highway. Gebel Mokattam has two plateaus which are structurally controlled by major faults. The other plateau is named the upper plateau of Gebel Mokattam is 200 meters above sea level. It is a markedly retreated tableland formed by the erosion of the soft clay stone and marls and jointed limestone of late Eocene age [13].

Ø Several researches dealt with slope stability from different points of view such as the relationship between reliability index, factor of safety and probability of failure with the length of support. These studies did not take into consideration connection between these relationships and cost. But the current study aims to suggest novel technique for relating the optimal length of support that meets the optimal reliability index and cost [14].

Ø It is well known that the common approach, which models soil properties as random variables, can lead to an overestimation of the probability of the failure of a slope because this assumption usually leads to the overestimation of the level of uncertainty [15].

Ø As an alternative approach to the widely used limit equilibrium method, the finite element Method is increasingly being employed in reliability-based Slope stability analyses. FEM-based approaches can compute the failure probability for the critical slip surface or the system probability of slope failure [16].

2. Methodology

In order to find out whether the stability of rock side slope in Mokattam area is reliable or not; A model was created using Slide program for upper plateau. The model consisted of 1 layer of rock and was extended 50 m in X direction, 10 m upward from the right side and 5 m upward from the left side in Y direction with a perpendicular slope angle 90° as shown in (figure 1).

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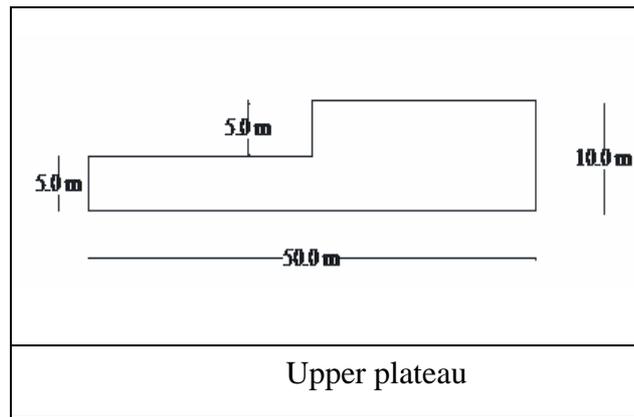


Figure 1 shows the model for A-upper plateau and B-middle plateau

In the beginning, the values of the rock parameters were collected and arranged. These rock parameters values were actually taken from a previous work carried out by [13]. Subsequently, statistical analysis (Maximum, Mean, Minimum and Standard deviation) of the collected data was calculated for upper and middle plateaus, as which shown in Table 1. Finally, these values were inserted as an input data into RocData program, to get the Hoek Brown parameters (m.s).

Table 1 rock parameters for upper plateau [13].

Test number	U.C.S	Unit weight	Ei	Test number	U.C.S	Unit weight	Ei
1	21.5	20.4	4386	31	17.7	20	4500
2	18.9	20	4705	32	15.5	20.6	2550
3	20.5	20.6	5814	33	22.4	19.6	6120
4	21	19.7	5841	34	13.6	20	2500
5	29.4	21.5	5649	35	21	21	5166
6	23.2	22.3	4419	36	21.3	20	5181
7	23.4	22.6	3519	37	23.6	22	2390
8	15.3	21.5	3978	38	10	20.5	2000
9	18.4	21.2	4662	39	18	19.5	4841
10	25.5	20.6	7263	40	23.7	21.5	6333
11	49.6	21.7	10000	41	11	21.4	3719
12	18.9	20	2981	42	13.8	20	4080
13	19.2	20.4	1900	43	36.3	21.7	6562
14	37.3	22.7	6528	44	25.4	20.9	6120
15	19.3	21.6	2486	45	19.2	20.7	3909
16	16.2	21	2652	46	15.9	20.8	3399
17	20	22	4000	47	28	22	3825
18	30.8	21.2	5800	48	27.9	21	3333
19	19.2	21.6	1900	49	18.2	19.6	4727
20	10.8	19.7	2040	50	15.4	20.3	3800
21	19.3	21.6	2486	51	14.8	21.4	3300

22	32.3	21.4	5378	52	14.8	20.6	2357
23	25.8	23	4717	53	14.9	20	1833
24	28.3	20.6	4000	54	24.9	20.2	5779
25	29.9	22	7332	55	13.2	20.9	3200
26	22.9	21.4	3538	56	16.2	20.4	2652
27	26	21.2	3834	57	25.4	21.6	6120
28	24	22	5294	58	10.3	20	2052
29	22.7	21.2	4431	59	20.5	20	3633
30	34.8	21.2	8000	60	35.7	21.2	7333

2.1. Statistical analysis of testing rock parameters for upper and middle plateaus

To determine the form of the statistical distribution for the values of the rock parameters, whose data was collected, “Bell Shape” diagrams for the values of the rock parameters of upper and middle plateaus had been estimated as shown in figures 2, 3, and 4.

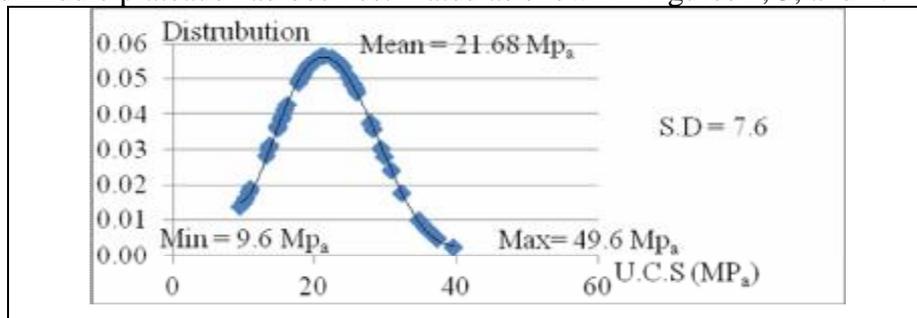


Figure 2 Bell shape for U.C.S of upper plateau

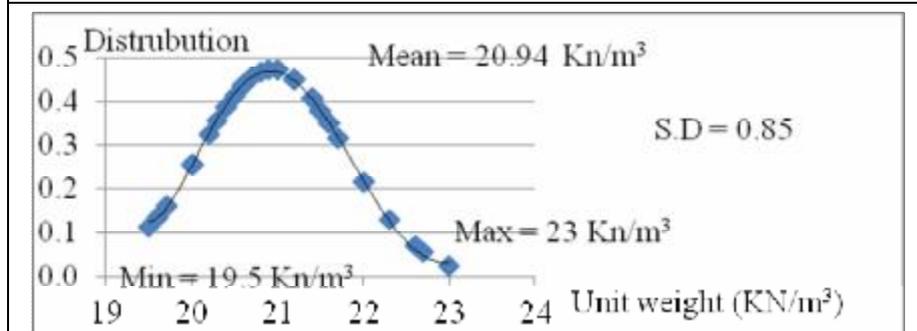


Figure 3 Bell shape for unit weight of upper plateau

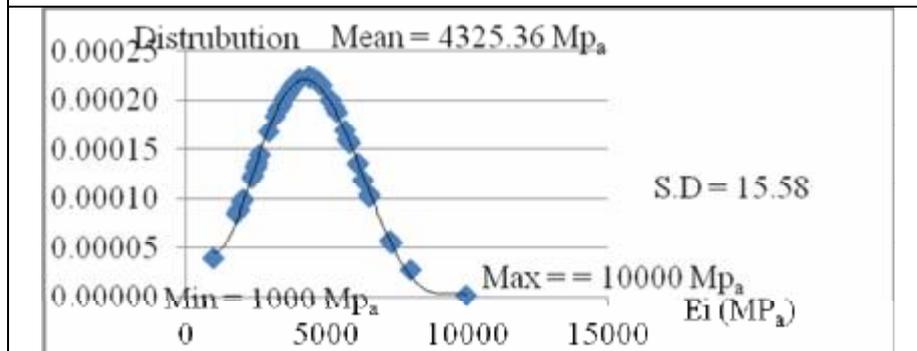


Figure 4 Bell shape for Ei of upper plateau

2.2. Reliability and factor of safety Calculation

To estimate Hoek Brown parameters (m, s), the maximum value of each parameter had to be inserted separately with the average values of the remaining parameters in the RocData program. The previous step was then repeated, taking into consideration the insertion of the mean value of each parameter separately with the average values of the remaining parameters. Reiteration of the previous step had been done through inserting the minimum value for each parameter separately, with the average values of the remaining parameters. Afterwards, the Hoek-Brown parameters (m, s), for each case, were obtained from RocData program. Later the Hoek- Brown parameters (m, s), unit weight and uniaxial compressive strength (U.C.S) values got entered into slide program. Noting that the determination of the suitable statistical distribution of the previous parameters were thoroughly considered by using LAB Fit program. Table 2 shows reliability index and factor of safety Values for upper plateau, Table 3 shows the best distribution using LAB Fit program for upper plateau. From the previous steps, the reliability Index, factor of safety, and probability of failure for each case were gotten. If the reliability Index is greater than or equal 3, there is no need for the reinforcement (rock nailing). Otherwise, we must improve the reliability by using rock bolts. Noting that reliability index of at least 3 is usually recommended as a minimal assurance of a safe slope design.

Table 2 Values of R.I and F.S for upper plateau

Upper plateau			
Parameters	Material Properties	R.I	F.S
U.C.S	Max	5.492	2.472
	Mean	2.914	1.58
	Min	0.002	1
G.S.I	Max	4.033	2.235
	Mean	2.914	1.58
	Min	1.237	1.202
Unit weight	Max	2.749	1.522
	Mean	2.914	1.58
	Min	2.991	1.621
M_i	Max	3.316	1.621
	Mean	2.914	1.58
	Min	2.534	1.547
E_i	Max	2.914	1.58
	Mean	2.914	1.58
	Min	2.914	1.58
D	Max	1.92	1.378
	Mean	2.914	1.58
	Min	3.7	1.87
Slope Height	Max	2.292	1.386
	Mean	2.914	1.58
	Min	3.354	1.949

Table 3 the best distribution for upper plateau using LAB Fit program

The best distribution for upper plateau using LAB Fit program				
Parameters	Hoek-Brown m parameter	UCS	Unit Weight	Hoek-Brown s parameter
Distribution	Normal	Lognormal	Gamma	Lognormal

2.3.Length of rock bolt and tensile strength calculating:

To indicate the calculation of the length for rock bolt and tensile strength, the researcher considered the following:

1. Equivalent cohesion and internal friction (c & ϕ) from RocData program was obtained
2. The calculation of the length for rock bolt and tensile strength, using design of rock nail walls of Federal Highway Administration (FHWA), had been applied [17]. New probability parameter such as (inclination angle, support length, distance between supports, out of plan spacing, tensile capacity, plate capacity and bond strength) had been added as input data in Slide program as shown in Table 4 for upper plateau.
3. The maximum value of each parameter got inserted separately with the average values of the remaining parameters in the Slide program. The previous step was then repeated, taking into consideration the insertion of the mean value of each parameter separately with the average values of the remaining parameters. Reiteration of the previous step had been done through inserting the minimum value for each parameter separately, with the average values of the remaining parameters to obtain the R.I, F.S and Pf.

Table 4 Probability for the rock nails for upper plateau

upper plateau with support							
Parameters	Inclination Angle (°)	Support Length (m)	Distance Between Supports (S_V) (m)	Out Of Plan Spacing (S_H) (m)	Tensile Capacity (KN)	Plate Capacity (KN)	Bond Strength (KN/m)
Max	20.00	7.85	2.00	2.12	62.34	751.00	600.00
Mean	15.00	6.63	1.50	1.41	52.82	339.50	500.00
St.dev	3.32	0.39	0.40	0.47	4.79	185.79	79.06
Min	10.00	5.93	1.00	0.71	45.98	118.00	400.00

3. RESULT

3.1. Results of the unsupported rock side slope for upper plateau

The relationship between the reliability index (R.I) and parameters of rock had been plotted and the next figures (5 and 6) show these relationships. It was clear that the larger the increase in the uni-axial compressive strength (U.C.S), the larger was the increase in reliability index (R.I). Similarly, geological strength index (G.S.I) and factor depended on rock type and texture (mi). Furthermore it was clear that the larger the increase in unit weight, the lower was the decrease in reliability index (R.I). Similarly, disturbance factor (D) and Slope Height. Moreover, "Reliability Index" was constant and did not change due to the changing in young's modulus (E_i), which meant that this parameter had absolutely no effect (deformation parameter).

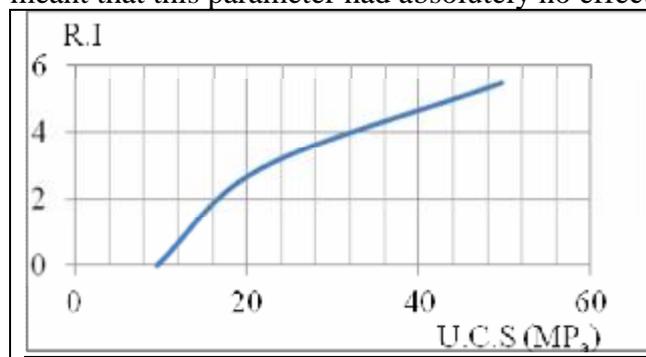


Figure 5 Relationships between R.I and U.C.S for upper plateau

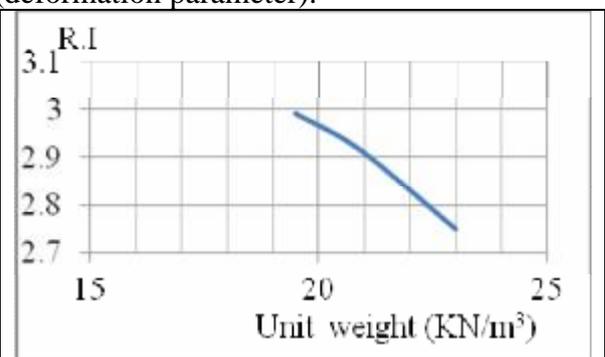


Figure 6 Relationships between R.I and unit weight for upper plateau

3.2. Results of the supported rock side slope for upper plateau

The relationship between the reliability index (R.I) and parameters of rock bolts had been plotted and the next figures (7 and 8) show these relationships, it was clear that the larger the increase in inclination angle, the lower was the decrease in reliability index. Similarly distance between supports and out of plan spacing. Furthermore, it was obvious that the larger the increase in tensile capacity, the larger the increase in reliability index.

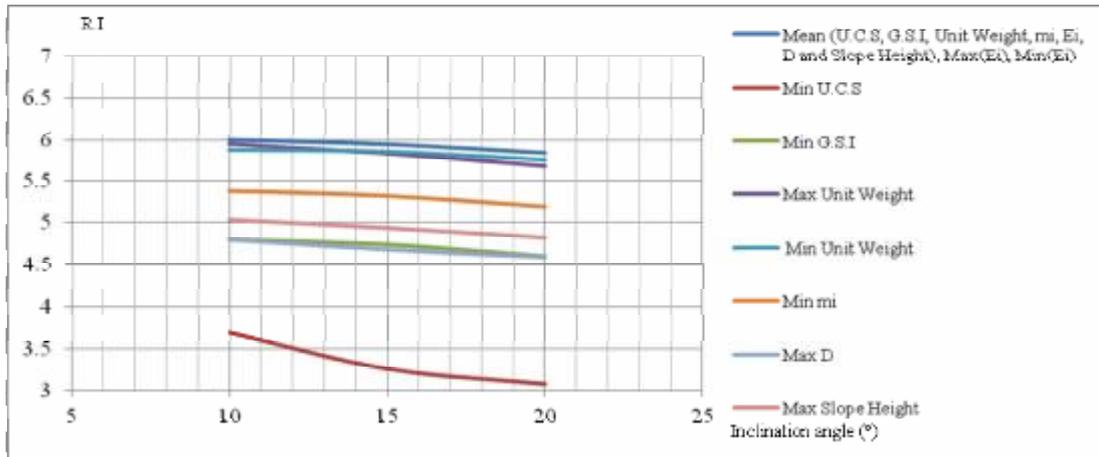


Figure 7 Relationships between R.I and inclination angle for upper plateau

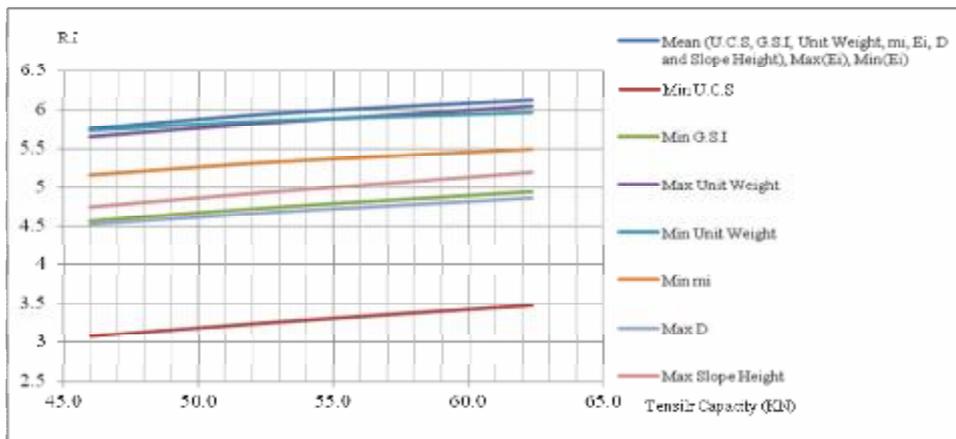


Figure 8 Relationships between R.I and tensile capacity for upper plateau

4. DETERMINATION OF THE MOST CRITICAL PARAMETERS

In order to calculate the most critical parameter, the following equation must be calculated for each parameter, Table 5 shows the values of the (Mean + St.dev), (Mean) and (Mean - St.dev) of the rock parameters for upper plateau.

Most critical parameter = R.I of (Mean + St.dev) - R.I of (Mean-St.dev) / 3 (for any parameter)

Table 5 Probability of rock parameters for upper plateau (most critical parameter)

Parameters	U.C.S (MP _a)	G.S.I	unit weight(KN/m ³)	m _i	Ei (MP _a)	D	slope height (m)
Mean + St.dev	29.28	42.05	21.79	10.58	6112.44	0.98	6.58
Mean	21.68	37.00	20.94	9.00	4325.36	0.85	5.00
St.dev	7.60	5.05	0.85	1.58	1787.08	0.13	1.58
Mean-St.dev	14.09	31.95	20.09	7.42	2538.28	0.72	3.42

Afterwards, the values of the (Mean + St.dev) and (Mean – St.dev) for the rock parameters should be inserted into the Slide program, and Tables 6 shows the values of the R.I for upper plateau.

Table 6 Values of R.I for upper plateau (most critical parameter)

upper plateau (most critical parameter)		
Parameters	Probability	R.I
U.C.S	Mean + Standard deviation	3.915
	Mean – Standard deviation	1.82
G.S.I	Mean + Standard deviation	3.619
	Mean – Standard deviation	2.023
Unit weight	Mean + Standard deviation	2.806
	Mean – Standard deviation	2.95
mi	Mean + Standard deviation	3.245
	Mean – Standard deviation	2.619
E _i	Mean + Standard deviation	2.914
	Mean – Standard deviation	2.914
D	Mean + Standard deviation	2.06
	Mean – Standard deviation	3.576
slope height	Mean + Standard deviation	2.065
	Mean – Standard deviation	3.002

Finally, the values of the most critical parameter for upper and middle plateau had been calculated and Tables 7 shows the values of the percentage of the most critical parameter for upper and middle plateau respectively.

Table 7 Calculations the most critical parameters for upper plateau

Most critical parameter for upper plateau							
Parameters	U.C.S (MP _a)	G.S.I	unit weight(KN/m ³)	m _i	E _i (MP _a)	D	slope height (m)
calculation the most critical parameters	69.83%	53.20%	4.80%	20.87%	0%	50.53%	31.23%

5. DETERMINATION OF THE TOTAL NUMBER OF SUPPORTS

5.1.For upper plateau

Ø There are 3 cases for calculating number of supports in Z direction according to the length of distance between supports:

- In case of the distance between supports = maximum (2m), the number of supports in Z direction will be 3

- In case of the distance between supports = mean (1.5m), the number of supports in Z direction will be 4
 - In case of the distance between supports = minimum (1m), the number of supports in Z direction will be 6
- Ø There are 3 cases for calculating number of supports in Y direction / m` according to the length of out of plan spacing:
- In case of the out of plan spacing = maximum (2.12m), the number of supports in Z direction will be 1
 - In case of the out of plan spacing = mean (1.41m), the number of supports in Z direction will be 2
 - In case of the distance between supports =minimum (0.71m), the number of supports in Z direction will be 3

6. RELATIONSHIP BETWEEN R.I AND THE TOTAL NUMBER OF SUPPORTS

The following figures 9 shows the relationship between reliability and total length of supports considering that:

$$\text{Total length of support} = \text{Support Length} * \text{Total number of supports}$$

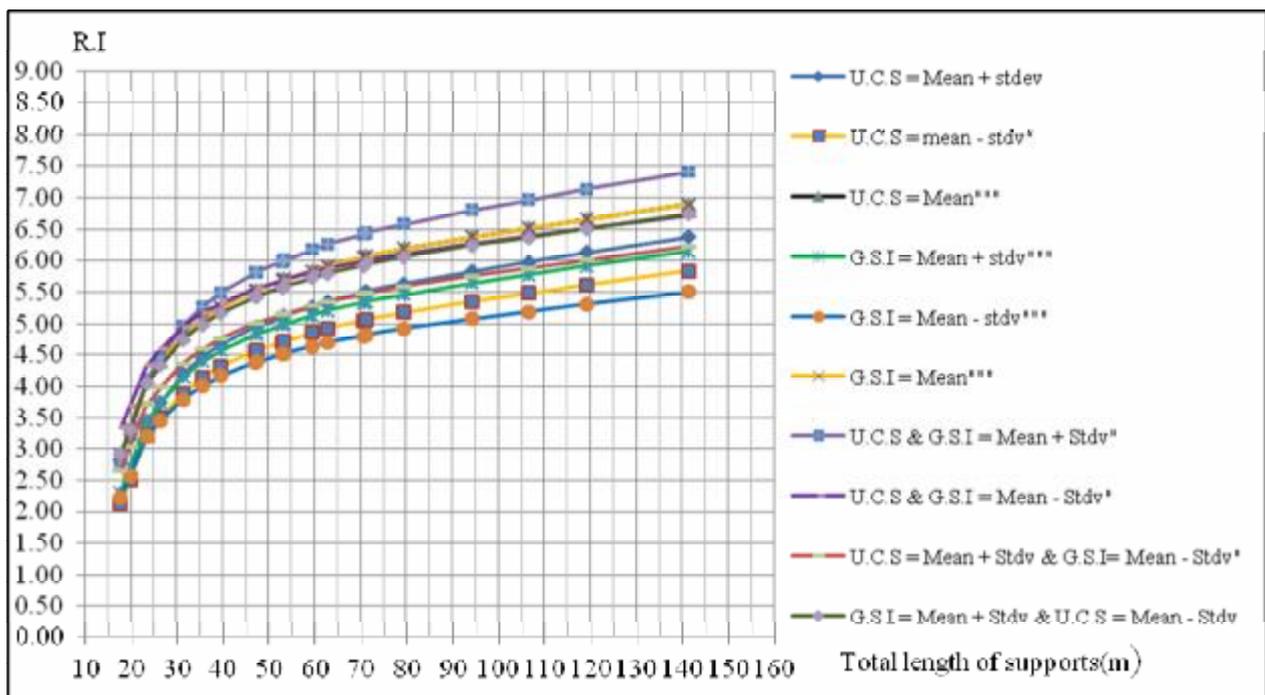


Figure 9 Relationships between R.I and total length of supports for upper plateau

7. COST OF APPRAISAL AND COST OF FAILURE

In order to calculate the cost of quality, cost of appraisal and cost of failure must be calculated

$$\text{Cost of appraisal} = \text{length of the rock bolt} * \text{cost/m`}$$

$$\text{Cost of failure} = \text{probability of failure} * \text{cost/m`}$$

Figures (10, 11, and 12) show the relationship between the cost of appraisal, cost of failure, total cost and the total length of support for upper and middle plateau for different cases of the most 2 critical rock parameters

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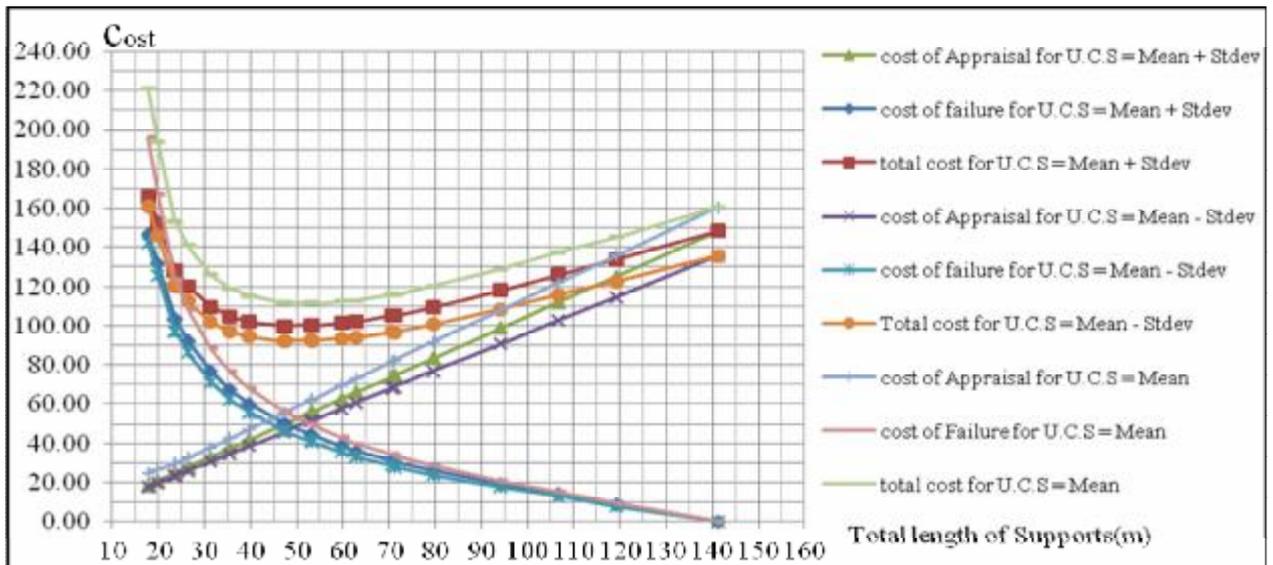


Figure 10 shows the relationship between the cost of Appraisal, cost of failure, total cost and the total length of support for upper plateau for different values of U.C.S

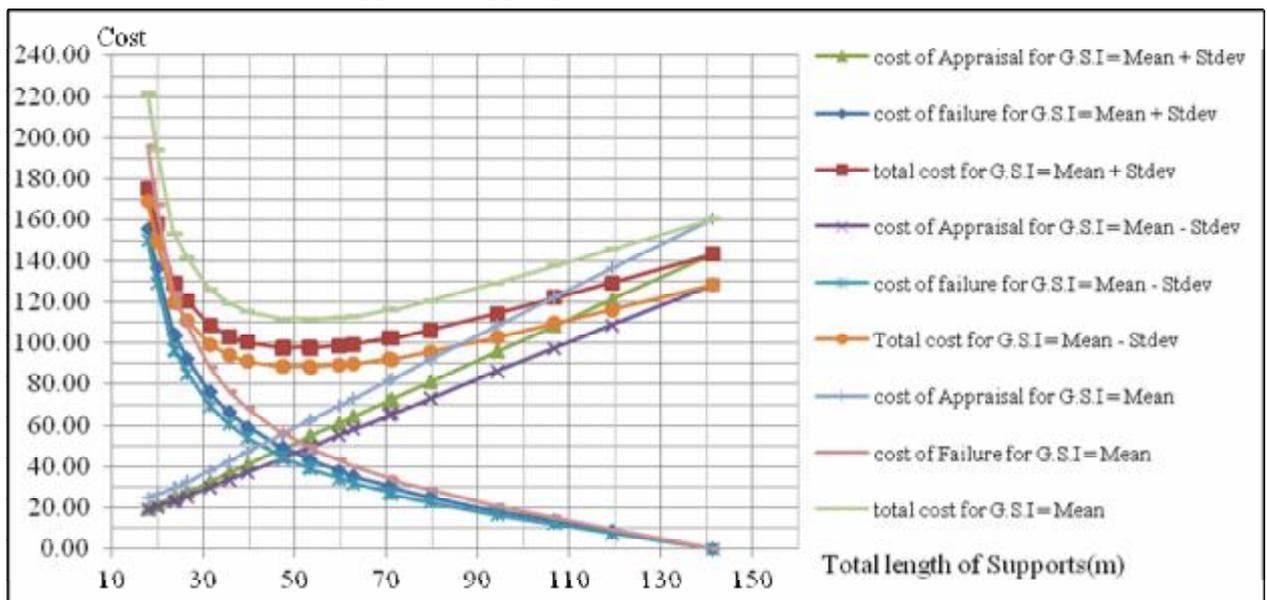


Figure 11 shows the relationship between the cost of Appraisal, cost of failure, total cost and the total length of support for upper plateau for different values of G.S.I

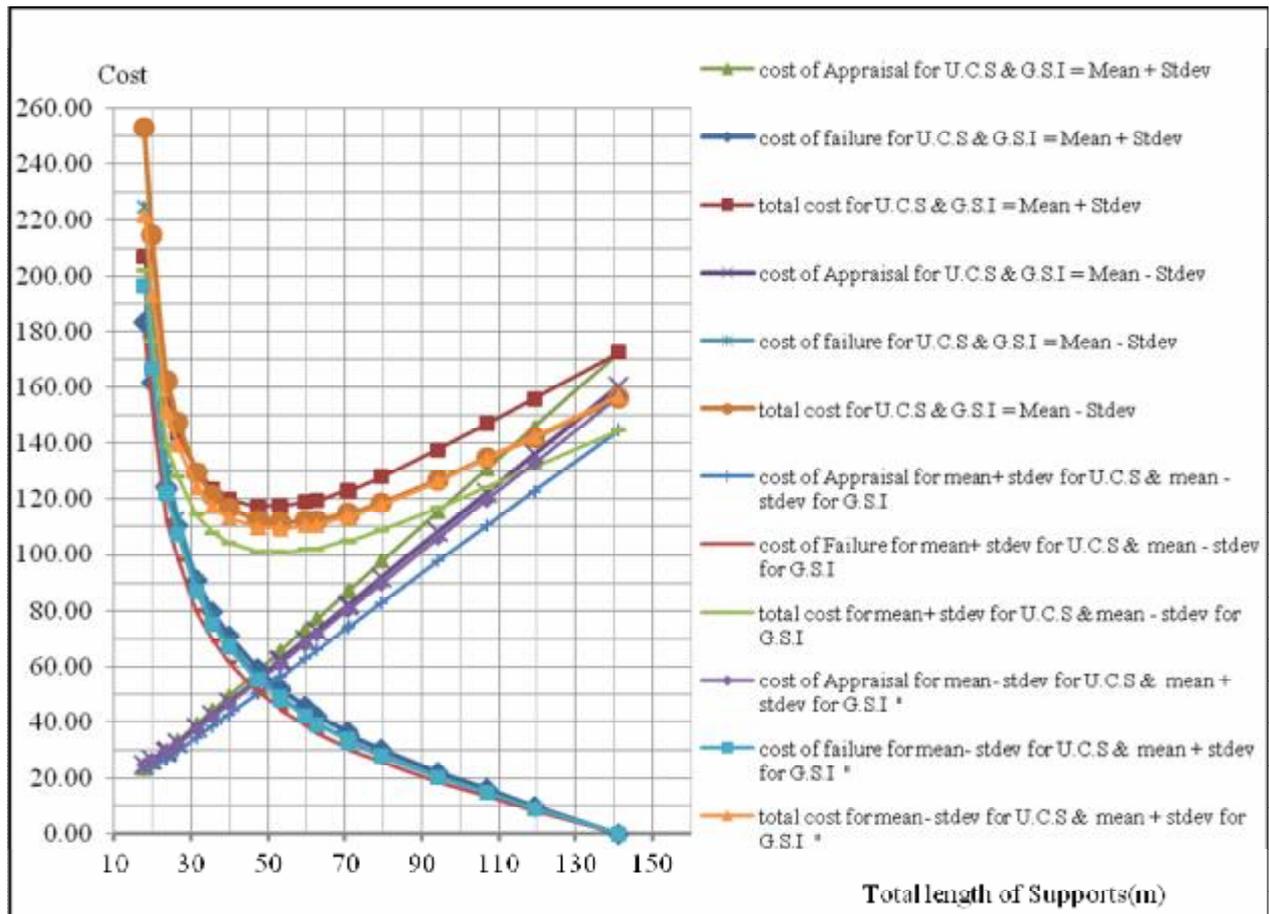


Figure 12 shows the relationship between the cost of Appraisal, cost of failure, total cost and the total length of support for upper plateau for different values of U.C.S & G.S.I

8. CONCLUSIONS

Methodology used in this thesis is suitable for the rock side slope. Hoek Brown Criterion had been used, which, with the help of statistical methods, gives results that are more accurate. Moreover, reliability index, support length and cost were calculated to help in reaching the optimal value for the three parameters. In the case of upper plateau: when the distance between supports and out of plan spacing was maximum, for minimum (U.C.S), the “Reliability Index” was less than the minimum “Reliability Index”, and that meant that it failed. When the value of out of plan spacing was maximum, for minimum (mi), the reliability index was less than the minimum reliability index, and that meant that it failed. When the value of tensile capacity was minimum, for minimum (mi), the “Reliability Index” was less than the minimum “Reliability Index”, and that meant that it failed. All values for all parameters for maximum “D” did not achieve the minimum “Reliability Index”, except the values of the minimum inclination angle, distance between supports, out of plan spacing, and the value of the maximum tensile capacity. It is, therefore, concluded that for upper and middle plateau, the larger the increase in “Inclination Angle”, the larger is the increase in the “Reliability Index”. For upper and middle plateau, it is obvious that the “Reliability Index” is constant and not changing, due to the changing of support length, which means that this parameter has absolutely no effect, (deformation parameter)

1. The research, therefore, concludes the following: The larger the increase in distance between supports, the lower is the decrease in “Reliability Index” for all the parameters. The larger the increase in out of plan spacing, the lower is the decrease in “Reliability Index” for all the parameters.

2. For upper plateau, the larger the increase in tensile capacity, the larger is the increase in “Reliability Index” for all the parameters.

3. "Reliability Index" has been proven to remain constant and does not change due to the change of plate capacity. This means that this parameter has absolutely no effects.
4. "Reliability Index" also remains constant and does not change due to the change of bond strength. This means that this parameter has absolutely no effects (deformation parameter)

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